

Electronic Acknowledgement Receipt

EFS ID:	3980763
Application Number:	10801238
International Application Number:	
Confirmation Number:	2021
Title of Invention:	Public offering risk management
First Named Inventor/Applicant Name:	David Lawrence
Customer Number:	28062
Filer:	Nathaniel Levin/Edith Martin
Filer Authorized By:	Nathaniel Levin
Attorney Docket Number:	G08.147/U
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Application Type:	Utility under 35 USC 111(a)

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Payment was successfully received in RAM	\$510
RAM confirmation Number	263
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Authorized User	LEVIN,NATHANIEL

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Appeal Brief Filed	G08147U_AppealBrief.pdf	137206	no	13
			9d7a295d8ba983c7a3b3b918a3a7e51c54e88a0		

Warnings:**Information:**

2	Miscellaneous Incoming Letter	G08147U_AppealBriefTransmittal.pdf	89125	no	1
			5a84ee2121fa0f86d8372ada81f83c44a095b3		

Warnings:**Information:**

3	Fee Worksheet (PTO-05)	fee-info.pdf	29680	no	2
			7b3d800248415c5c7b4db06c1c777b70b58ebc3		

Warnings:**Information:**

Total Files Size (in bytes):	256011
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.